



## Guidelines on Cumulative Dissertations

as adopted by the PhD Board on 25 September 2014

The following criteria shall guide the PhD Board as well as the Doctoral Committees appointed for the respective PhD candidate's defence. The criteria specify the regulations laid down in the Doctoral Degree Regulations.

1. A cumulative dissertation consists of at least three papers that have been written for publication in academic journals or edited volumes. The Doctoral Committee is responsible for determining that the dissertation in its entirety presents an equal amount of work as a monograph dissertation.
2. The Doctoral Committee is responsible for determining that unpublished papers meet the quality standards for publications.
3. The dissertation includes an "envelope", i.e. an introduction and a text which connects the individual papers and establishes their overall coherence.
4. At least one of the papers must be single-authored by the doctoral candidate.
5. In the case of co-authored papers, the doctoral candidate shall submit a statement specifying his or her contribution to the conception, research and writing of the paper. This statement must be signed by the co-authors.
6. At least one reviewer shall not be a co-author of any paper submitted as part of the dissertation. If two papers submitted as part of the dissertation have been co-authored with reviewers, a third reviewer shall be appointed by the PhD Board. The third reviewer shall also serve on the Doctoral Committee appointed for the defence.
7. All parts of the dissertation must be published before the doctoral candidate can be awarded the doctoral degree. Papers not published in academic journals or edited volumes can be published according to §16, para 2 Doctoral Degree Regulations (electronic publication or submission of 15 bound and durable copies in letterpress). In the latter case, the "envelope" shall be part of the publication. The full-text of individual, separately published papers can be exempted from the electronic publication if the commercial publisher does not allow a further publication.